

Docket No. 87335.3461  
Customer No. 30734

**UTILITY PATENT APPLICATION  
UNDER 37 CFR 1.53(b)**

HONORABLE COMMISSIONER OF  
PATENTS AND TRADEMARKS  
Washington D.C. 20231

Case Docket No. 87335.3461

Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR(s): Dave ENGEL

FOR: **RETAINING RING APPARATUS AND METHOD**

Enclosed are:

- ☒ 16 pages comprised of 1 cover sheet, 10 pages of specification, 4 pages of claims, and 1 page of abstract
- ☒ Declaration & Power of Attorney
- ☒ Priority Claimed from US Provisional Application No.60/324,526, filed September 26, 2002.
- ☐ Certified copy of \_\_\_\_\_
- ☒ 3 sheets of drawings
- ☒ An assignment of the invention to SPX Corporation, and the assignment recordation fee.
- ☒ Return Receipt Postcard
- ☐ Information Disclosure Statement, Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Other: The undersigned hereby declares that this applications meets the qualifications for **Small Entity Status** under 37 C.F.R. 1.9(f) and 1.27(c) - Small Business Concerns - Independent Inventor.
- ☐ Other:

The filing fee has been calculated as shown below:

(1) FOR	(2) NO. FILED	(3) NO. EXTRA	(4) RATE	(5) AMOUNT	
TOTAL CLAIMS	21	-20	1	x \$18.00 =	\$18.00
INDEPENDENT CLAIMS	4	-3	1	x \$80.00 =	\$80.00
MULTIPLE DEPENDENT CLAIM(S) (If applicable)			+ \$270.00 =		0.00
BASIC FEE					\$ 740.00
Total of above calculations					= \$838.00
[X] Assignment & Recording Fee					\$40.00
TOTAL FEE					\$878.00

The PTO did not receive the following  
listed item(s) Assignment of Invention  
and recordation fee

☒ Attached is a check in the amount of \$838.00. A duplicate copy of this sheet is enclosed.

☐ Please charge my Deposit Account No. 50-2036 in the amount of \$0.00. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-2036. A duplicate copy is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-2036. A duplicate copy of this sheet is enclosed.

☒ Any patent application processing fees under 37 CFR 1.17.

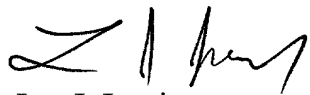
☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Please address all correspondence in the above-identified application as follows:

**BAKER & HOSTETLER LLP**  
**Washington Square, Suite 1100**  
**1050 Connecticut Avenue, N.W.**  
**WASHINGTON, D.C. 20036**  
**Tel.: 202 861 1500**  
**Fax: 202 861 1783**

Respectfully submitted,

BAKER & HOSTETLER LLP



Leo J. Jennings  
Registration No. 32,902

Date: February 28, 2002  
Washington Square, Suite 1100  
1050 Connecticut Avenue, N.W.  
Washington, D.C. 20036  
(202) 861-1500